



Masters of Business Administration (MBA) – Semester - 3
Course Teaching Plan.

Course Title: Financial Markets and Services

Course Code: 240032302

Course Credit: 4 (40 Hours)

1. Course Introduction:

The course is designed to provide an understanding about functioning of Indian Financial System. The course covers various regulations, processes and documentation related to four major pillars of financial system i.e. financial markets, services, institutes, and instruments. It also contains the functioning of regulators such as RBI and SEBI. The course will also help in examining the interconnectivity among different elements of financial system and understanding its impact on corporate world.

2. Course Objectives

- The purpose of this course is to develop a broad understanding of the various elements of Indian Financial System.
- The course will enable students to analyze the functioning of financial markets, financial services, financial institutions and financial instruments.

3. Course Learning Outcomes:

CLO1: Understand the various components of Indian financial system and analyze the financial markets

CLO2: Discuss the regulators and regulations of financial system

CLO3: Examine the meaning, characteristics and application of different financial services
CLO4: Understand structure of different financial institutions.

CLO5: Analyze the financial instruments with reference to their role in financial system

4. CLO –PO Mapping Matrix Correlation levels:

	PO1	PO2	PO3	PO4	PO5
CLO1	3	3	2	3	3
CLO2	3	3	2	3	1
CLO3	3	3	2	2	3
CLO4	3	3	2	2	2
CLO5	3	3	1	2	2

Correlation levels: 3= 'High', 2='Medium', 1='Low' and '-' = No correlation

5 Course Contents and Session Plan:

Session No.	Syllabus Content
Unit I	
1	Introduction to Indian Financial System <ul style="list-style-type: none"> • Introduction • Formal and Informal Sectors, • Components of Formal Financial System.
2-5	Money Market and Instruments <ul style="list-style-type: none"> • Introduction of Money Market, • Overview of various instruments such as T Bills, Call and Notice Money Market, Commercial papers, Commercial Bills etc.
6-11	Capital Market: Primary Market <ul style="list-style-type: none"> • Introduction of Capital Market • Capital Market Scams • Types of Primary Issues and the process • Initial Public Offering and Follow-on Public Offering • IPO - Process and Documentation
12-14	Capital Market: Secondary Market <ul style="list-style-type: none"> • Meaning and Functions of Secondary Market • Types and working of Stock exchanges • Introduction and functioning of Indices • Capital Market Scams
15-16	Overview of Regulator: <ul style="list-style-type: none"> • Reserve Bank of India- its functions and working. • Securities Exchange Board of India - its functions and working
Unit II	
17-18	Financial Services <ul style="list-style-type: none"> • Introduction and Need of Financial Services, • The meaning, need and working of Stock Broking firm • Overview of Custodial and Depository Service
19-22	Financial Services: Asset Based Corporate Financial Services <ul style="list-style-type: none"> • Introduction of Asset based services • Factoring and Forfeiting • Leasing and Hire Purchase
23-27	Financial Services: Fee Based corporate financial services <ul style="list-style-type: none"> • Credit Rating: Meaning, Process and importance • Overview of Investment Banking
Unit III	
28-30	Financial Institutions: <ul style="list-style-type: none"> • Introduction • Banking Institutions: Structure, functions, types, and their working • NBFCs: Types and overview of regulations

31-34	Other Financial Institutions: <ul style="list-style-type: none"> • Microfinance • Venture Capital • Private Placement • Private Equity • Overview of Credit Information Reporting Co. • ARCs and Bad Bank
Unit IV	
35-38	Financial Instruments: <ul style="list-style-type: none"> • Meaning and type of Financial Instruments • Overview of Primary securities - Equity, Preference, Debt • Time Deposits • Mutual Fund • Insurance • Overview of NFT and Crypto currency
39-40	Crowd-funding: <ul style="list-style-type: none"> • Concept of Crowdfunding, • Types of Crowd funding platforms, • Crowd funding in India.

5. Assessment Scheme:

Specific assessment method	% Weightage	Theory	Practical
Exam	50%	√	
Class Participation	10%	√	√
Quiz	10%	√	√
Class Exercise -	10%	√	√
Report			
Class Exercise - Presentation	20%	√	√

7. Educational Resources

Educational Resources	Description
ix. Text Book	Indian Financial System by Bharti Pathak, Pearson Publication, Latest Edition
x. Reference Book	Indian Financial System by M Y Khan, McGraw Hill Publication, Latest Edition
xi. Journals/ Magazines	Business Standard, Mint, Economic Times. Etc. a) "Indian financial system steadily maturing". as it moves away from

xii. Video lecture (NPTEL, MOOC, you tube lecture)	<p>Coursera : "Understanding Financial Markets" https://www.coursera.org/learn/understanding-financial-markets</p> <p>Coursera : "Introduction to Financial Markets" https://www.coursera.org/learn/financial-markets-intro</p>
xiii. Course related important Web links	<p>8. www.bseindia.com 9. https://dbie.rbi.org.in 10. www.moneycontrol.com 11. www.livemint.com 12. www.financialexpress.com</p>